

P20739.P01

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. P20739 Total Pages

Inventor(s) or Application Identifier  
Ronald John VEITCH, Albert KOHL, Helmut JAKUSCH,  
Andreas ILMER, Peter HEILMANN, Ria KRESS, Stefan  
MULLER, and Johannes SANDROCK

Title: MAGNETIC RECORDING MEDIUM

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

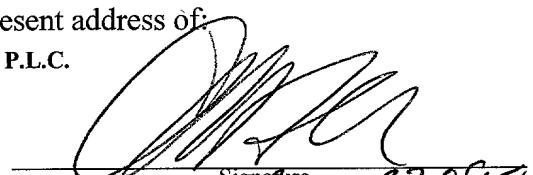
| APPLICATION ELEMENTS   | ACCOMPANYING APPLICATION PARTS   |
|--|--|
| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form<br/>(Submit an original, and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status.<br/>See 37 CFR 1.27.</p> <p>a. <input type="checkbox"/> Small Entity Statement(s)</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages <u>27</u>]<br/>(preferred arrangement set forth below)<br/>           - Descriptive title of the Invention<br/>           - Cross References to Related Applications<br/>           - Statement Regarding Fed sponsored R &amp; D<br/>           - Reference to sequence listing, a table, or a computer program listing appendix<br/>           - Background of the Invention<br/>           - Brief Summary of the Invention<br/>           - Brief Description of the Drawings (if filed)<br/>           - Detailed Description<br/>           - Claim(s)<br/>           - Abstract of the Disclosure</p> <p><input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets <u>2</u>]</p> <p><input checked="" type="checkbox"/> Oath or Declaration [Total Pages <u>5</u>]<br/>           a. <input type="checkbox"/> Newly executed (original or copy) <input checked="" type="checkbox"/> Unexecuted<br/>           b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))<br/>           (for continuation/divisional with Box 20 completed)<br/> <u>[Note Box 6 below]</u><br/>           i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br/>           Signed statement attached deleting inventor(s)<br/>           named in the prior application, see 37 CFR<br/>           1.63(d)(2) and 1.33(b).</p> <p><input type="checkbox"/> Incorporation By Reference (useable if Box 5b is checked)<br/>           The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p> <p>7. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> <p>8. <input type="checkbox"/> CD-Rom or CD-R in duplicate, large table or Computer Program (<i>Appendix</i>)</p> | <p>9. Nucleotide and/or Amino Acid Sequence Submission<br/>(if applicable, all necessary)</p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)<br/>           b. Specification Sequence Listing on:<br/>           i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or<br/>           ii. <input type="checkbox"/> paper<br/>           c. <input type="checkbox"/> Statements verifying identity of above copies</p> <p>10. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>11. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney<br/>(when there is an assignee)</p> <p>12. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>13. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>14. <input type="checkbox"/> Preliminary Amendment</p> <p>15. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br/>(Should be specifically itemized)</p> <p>16. <input type="checkbox"/> Figure of Drawing to be published <u>1</u></p> <p>17. <input type="checkbox"/> Foreign priority claimed</p> <p>a. <input checked="" type="checkbox"/> Claim of Priority<br/>           b. <input type="checkbox"/> Certified Copy of Priority Document(s)</p> <p>18. <input checked="" type="checkbox"/> Assignee: <u>EMTEC MAGNETICS GmbH of Ludwigshafen,</u><br/><u>GERMANY</u></p> <p>19. <input checked="" type="checkbox"/> Other: <u>Cover Letter under 37 CFR 1.53(b) and (f)</u><br/>           _____<br/>           _____<br/>           _____<br/>           _____</p> |
| <p>20. If a <b>CONTINUING APPLICATION</b>, check appropriate box and supply the requisite information:</p> <p><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior Application No. <u>/</u>, filed <u>      </u>.<br/> <i>Prior application information: Examiner: _____ Group Art Unit: _____</i></p> <p>21. <input type="checkbox"/> Amend the specification by inserting before the first line the sentence:<br/>           This application is a <u>      </u> continuation-in-part, <u>      </u> continuation, <u>      </u> divisional, of Application No. <u>      </u> / <u>      </u>, filed <u>      </u>.</p>  |  |

Address all future correspondence to **Customer No. 7055** at the present address of:

GREENBLUM & BERNSTEIN, P.L.C.  
1941 Roland Clarke Place  
Reston, VA 20191  
(703) 716-1191

*4/6/01*

Date

  
 Signature *Neil F. Greenblum, Reg. No. 28,394*  
 Type or Printed Name *Neil F. Greenblum, Reg. No. 28,394*

**FEE TRANSMITTAL****Complete if Known**

|                      |                       |
|----------------------|-----------------------|
| Application Number   | Not Yet Assigned      |
| Filing Date          | Concurrently Herewith |
| First Named Inventor | R.J. VEITCH et al.    |
| Group Art Unit       | Unassigned            |
| Examiner Name        | Unassigned            |

**TOTAL AMOUNT OF PAYMENT** (\$898.00)

Attorney Docket Number P20739

**METHOD OF PAYMENT** (check one)**FEE CALCULATION** (continued)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 19-0089

Deposit Account Name GREENBLUM &amp; BERNSTEIN, P.L.C.

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17, including any required extension of time fees in any concurrent or future reply requiring a petition for extension of time for its timely submission (37 CFR 1.136(a)(3))  Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b)  Applicant Claims Small Entity Status See 37 CFR 1.27.

2.  Payment Enclosed:

Check  Credit Card  Money Order  Other

**FEE CALCULATION** (fees effective 12/29/99)**1. FILING FEE**

| Large Entity Fee Code | Small Entity Fee Code | Fee Description        | Fee Paid |
|-----------------------|-----------------------|------------------------|----------|
| 101                   | 710                   | Utility filing fee     | 710      |
| 106                   | 320                   | Design filing fee      |          |
| 107                   | 490                   | Plant filing fee       |          |
| 108                   | 710                   | Reissue filing fee     |          |
| 114                   | 150                   | Provisional filing fee |          |

**SUBTOTAL (1) (\$)** 710**2. CLAIMS**

| Total Claims              | Independent | Extra | Fee from below | Fee Paid |
|---------------------------|-------------|-------|----------------|----------|
| 26                        | 4           | -20=  | 6 x 18 =       | 108      |
|                           |             | -3=   | 1 x 80 =       | 80       |
| Claims                    |             |       |                |          |
| Multiple Dependent Claims |             | x     | =              | 0        |

| Large Entity Fee Code | Small Entity Fee Code | Fee Description   |
|-----------------------|-----------------------|---|
| 103                   | 18                    | Claims in excess of 20                                  |
| 102                   | 80                    | Independent claims in excess of 3                       |
| 104                   | 270                   | Multiple dependent claim                                |
| 109                   | 80                    | Reissue independent claims over original patent         |
| 110                   | 18                    | Reissue claims in excess of 20 and over original patent |

**SUBTOTAL (2) (\$)** 188**3. ADDITIONAL FEES**

| Large Entity Fee Code | Small Entity Fee Code | Fee Description  | Fee Paid |
|-----------------------|-----------------------|--|----------|
| 105                   | 130                   | Surcharge - late filing fee or oath  |          |
| 127                   | 50                    | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139                   | 130                   | Non-English specification  |          |
| 147                   | 2,520                 | For filing a request for reexamination                                     |          |
| 112                   | 920*                  | Requesting publication of SIR  |          |
| 113                   | 1,840*                | Prior to Examiner action   |          |
|                       |                       | Requesting publication of SIR after Examiner action                        |          |
| 115                   | 110                   | Extension for response within 1st month                                    |          |
| 116                   | 390                   | Extension for response within 2nd month                                    |          |
| 117                   | 890                   | Extension for response within 3rd month                                    |          |
| 118                   | 1,390                 | Extension for response within 4th month                                    |          |
| 128                   | 1,890                 | Extension for response within 5th month                                    |          |
| 119                   | 310                   | Notice of Appeal   |          |
| 120                   | 310                   | Filing a brief in support of an appeal                                     |          |
| 121                   | 270                   | Request for oral hearing   |          |
| 138                   | 1,510                 | Petition to institute a public use proceeding                              |          |
| 140                   | 110                   | Petition to revive unavoidably abandoned application                       |          |
| 141                   | 1,240                 | Petition to revive unintentionally abandoned application                   |          |
| 142                   | 1,240                 | Utility issue fee (or reissue)   |          |
| 143                   | 440                   | Design issue fee   |          |
| 144                   | 600                   | Plant issue fee  |          |
| 122                   | 130                   | Petitions to the Commissioner  |          |
| 123                   | 50                    | Petitions related to provisional applications                              |          |
| 126                   | 240                   | Submission of IDS  |          |
| 581                   | 40                    | Recording each patent assignment per property (times number of properties) |          |
| 146                   | 710                   | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 149                   | 710                   | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| 179                   | 710                   | Request for Continued Examination (RCE)                                    |          |
| 169                   | 900                   | Request for expedited examination of a design application                  |          |
|                       |                       | Other fee (specify)  |          |
|                       |                       | Other fee (specify)  |          |

**SUBTOTAL (3) (\$)** 0.00

\*Reduced by Basic Filing Fee paid

**SUBMITTED BY**

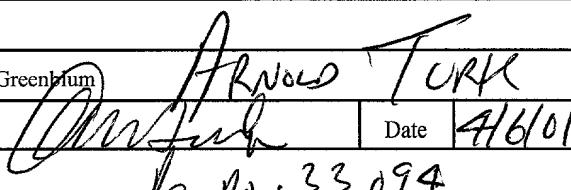
Complete (if applicable)

Typed or Printed Name Neil F. Greenblum

Reg. Number 28,394

Signature

Deposit Account User ID



Arnold Turk  
Neil F. Greenblum  
10/10/01  
133,094

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant :R.J. VEITCH et al.

Serial No : Not Yet Assigned

Filed : Concurrently Herewith

For :MAGNETIC RECORDING MEDIUM

COVER LETTER ACCOMPANYING U.S. PATENT APPLICATION  
FILED UNDER 37 C.F.R. 1.53(b)and 1.53(f)

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

Enclosed is a new patent application for filing in the U.S. Patent and Trademark Office under 37 C.F.R. 1.53(b)and 1.53(f) in which the Declaration and Power of Attorney attached thereto are in unexecuted form. An executed Declaration and Power of Attorney will be filed within the time period set forth in the Notice to File Missing Parts of Application, unless such time period has been extended by the filing of a petition accompanied by the extension fee under the provisions of 37 C.F.R. 1.136(a).

Related to this, a correspondence address is provided in the unexecuted Declaration and Power of Attorney, and is as follows:

GREENBLUM & BERNSTEIN, P.L.C.  
1941 Roland Clarke Place  
Reston, Va. 20191

The above-identified application includes:

- 27 pages of specification (including Abstract);
- 26 total claims; with 4 independent;
- 2 sheets of drawings with 2 figures;
- an unexecuted Declaration and Power of Attorney.

If there are any questions, please contact the undersigned at the below-listed telephone number.

Respectfully submitted,  
R.J. VEITCH et al.

  
Neil E. Greenblum  
Reg. No. 28,394

*Page No. 33,094*

April 6, 2001  
GREENBLUM & BERNSTEIN, P.L.C.  
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